

Now we shall show the following:

Proposition 3.3. $\mathbf{R} \in \mathcal{U}(M; N)$.

Proof. If $u \in R, j \geq 1$, then we denote by u_{\bullet}^j the j -th power of u in \mathbf{R} , i.e.

$$(21) \quad u_{\bullet}^1 = u, \quad u_{\bullet}^{j+1} = (u_{\bullet}^j) \bullet u.$$

(Note that, if $u \in R$, then $u_{\bullet}^j \in R$, but it can happen that $u^j \in F \setminus R$.)

Assuming (19), by (20) we obtain the equalities (22) in the corresponding cases $\mathcal{U}_l, \mathcal{U}_r$ and \mathcal{U} .

$$(22) \quad \begin{aligned} \mathcal{U}_l : \quad u_{\bullet}^j &= \begin{cases} u, & \text{if } j = 1 \\ t \frac{u^j - 1}{u - 1}, & \text{if } 2 \leq j \leq m \\ t \frac{u^r - 1}{u^r - 1}, & \text{if } j = qm + r, 2 \leq r \leq m + 1 \end{cases} \\ \mathcal{U}_r : \quad u_{\bullet}^j &= t^{i+j}, \text{ if } j \geq 1; \\ \mathcal{U} : \quad u_{\bullet}^j &= \begin{cases} u, & \text{if } j = 1 \\ t^{sn+j}, & \text{if } s \geq 1, 1 \leq j \leq (k-s)n + 1. \\ t^{j-(k-s)n}, & \text{if } s \geq 1, (k-s)n + 2 \leq j \leq kn + 1 \end{cases} \end{aligned}$$

Therefore:

$$(23) \quad \begin{aligned} \mathcal{U}_l : \quad u_{\bullet}^{m+1} &= t^{p+1}; \\ \mathcal{U}_r : \quad u_{\bullet}^{j+1} &= u^{i+j+1}, \text{ for each } j \in S; \\ \mathcal{U} : \quad u_{\bullet}^{in+1} &= \begin{cases} t^{(i+s)n+1}, & \text{if } i + s \leq k \\ t^{(i+s-k)n+1}, & \text{if } i + s > k, 1 \leq i \leq k. \end{cases} \end{aligned}$$

If $\eta(u) = t \neq u$ (i.e. $u = t^{sn+1}, s \geq 1$) and $i = k$, then in the last case we obtain

$$u_{\bullet}^{m+1} = u.$$

From (20) and (23) we obtain that, for any $v, w \in R$, the following equations hold:

$$\begin{aligned} \mathcal{U}_l : (v_{\bullet}^{m+1}) \bullet w &= v \bullet w; \\ \mathcal{U}_r : v \bullet (w_{\bullet}^{i+1}) &= v \bullet w, \text{ for each } i \in S; \end{aligned}$$

$$\mathcal{U} : (v \bullet^{m+1}) \bullet w = v \bullet w = v \bullet (w \bullet^{n+1}).$$

Therefore, we have $\mathbf{R} \in \mathcal{U}_l(m)$, $\mathbf{R} \in \mathcal{U}_r(S)$, $\mathbf{R} \in \mathcal{U}(kn; n)$ in the cases: $\mathcal{U}(M; N) = \mathcal{U}_l(m)$, $\mathcal{U}(M; N) = \mathcal{U}_r(S)$, $\mathcal{U}(M; N) = \mathcal{U}(kn; n)$, respectively.

□

The following statement will complete the proof of Theorem 1.

Proposition 3.4. *Let $\mathbf{G} = (G; \cdot) \in \mathcal{U}(M; N)$. If $\lambda : B \rightarrow G$ is a mapping, and $\varphi : \mathbf{F} \rightarrow \mathbf{G}$ the homomorphism which extends λ , then the restriction of φ on R is a homomorphism from \mathbf{R} into \mathbf{G} .*

Proof. It suffices to show the equality $\varphi(v \bullet w) = \varphi(v)\varphi(w)$, for each $v, w \in R$ such that $v \bullet w \neq vw$.

Then, in the case $\mathcal{U}(M; N) = \mathcal{U}_l(m)$, we have $v = t^{(p+1)}$ for a unique pair (t, p) , where $t \in R, p \geq 0, \alpha(t) = t$, and $v \bullet w = tw$. Therefore, we have:

$$\varphi(v \bullet w) = \varphi(tw) = \varphi(t)\varphi(w) = \varphi(t)^{(p+1)}\varphi(w).$$

Then, by (11) we have:

$$\varphi(t)^{(p+1)}\varphi(w) = \varphi(t)^{(p+1)}\varphi(w) = \varphi(t^{(p+1)})\varphi(w) = \varphi(v)\varphi(w).$$

In the case $\mathcal{U}(M; N) = \mathcal{U}_r(S)$ we have: $w = t^{i+1}$, for a unique pair (t, i) , where $t \in R, \beta(t) = t, i \in S$. Therefore,

$$\varphi(v \bullet w) = \varphi(vt) = \varphi(v)\varphi(t) = \varphi(v)\varphi(t)^{i+1} = \varphi(v)\varphi(t^{i+1}) = \varphi(v)\varphi(w).$$

In a similar way, we obtain that $\varphi(v \bullet w) = \varphi(v)\varphi(w)$, in the case \mathcal{U} .

□

By Propositions 3.2–3.4, \mathbf{R} is a $\mathcal{U}(M; N)$ -free groupoid with the unique basis B , i.e. we have completed the proof of Theorem 1.

We say that the formula: $x^{m+1} \cdot y = xy$ ($x \cdot y^{n+1} = xy$) is a *left* (a *right*) equation; a left or a right equation is called *equation*. It is well known that an equation holds in a variety $\mathcal{U}(M; N)$ iff it is satisfied in each $\mathcal{U}(M; N)$ -free groupoid. Therefore, the following statement describes the set of equations in a variety $\mathcal{U}(M; N)$.

Proposition 3.5. *Let \mathbf{H} be a free groupoid in the variety $\mathcal{U}(M; N)$. Then the following statements hold.*

- (i) If $M \neq \emptyset$, $N = \emptyset$, $\gcd(M) = m$, then a left equation $x^{m+1}y = xy$ holds in \mathbf{H} iff $m|n$; no right equation holds in \mathbf{H} .
- (ii) If $M = \emptyset$, $N \neq \emptyset$, then the right equation $xy^{j+1} = xy$ holds in \mathbf{H} iff $j \in \langle N \rangle$; no left equation holds in \mathbf{H} .
- (iii) If $M \neq \emptyset$, $N \neq \emptyset$, $\gcd(M) = m$, $n = \gcd(M \cup N)$, then $x^{i+1}y = xy$ iff $m|i$ and $xy^{j+1} = xy$ iff $n|j$ hold in \mathbf{H} .

Proof. Let \mathbf{R} be a $\mathcal{U}(M; N)$ -canonical groupoid with the basis B , and $a, b \in B$.

Then:

- (i) If $M \neq \emptyset$, $N = \emptyset$, $\gcd(M) = m$, then
 $(a^{i+1}) \bullet b = ab = a \bullet b$ iff $m|i$;
 $a \bullet b^{j+1} \neq ab = a \bullet b$ for each $j \geq 1$.
- (ii) If $M = \emptyset$, $N \neq \emptyset$ and $S = \langle N \rangle$, then:
 $a^{i+1} \bullet b = a^{i+1}b \neq ab = a \bullet b$;
and, if $j \geq 1$, then $a \bullet (a^{j+1}) = ab = a \bullet b$ iff $j \in S$.
- (iii) If $M \neq \emptyset$, $N \neq \emptyset$, $\gcd(M) = m$, $n = \gcd(M \cup N)$, $i, j \geq 1$, then:
 $(a^{i+1}) \bullet b = ab = a \bullet b$ iff $m|i$,
 $a \bullet (b^{j+1}) = ab = a \bullet b$ iff $n|j$. \square

Having in mind the definitions of the transformations ξ, η in each of the cases $\mathcal{U}_l(m), \mathcal{U}_r(S)$ and $\mathcal{U}(kn; n)$, as a corollary of Theorem 1 the following statement can also be obtained.

Proposition 3.6. *If \mathbf{H} is a $\mathcal{U}(M; N)$ -free groupoid with the basis B , then there exist retractions γ and δ of H with the following properties:*

- (i) B is the set of primes in \mathbf{H} , and $B \subseteq \text{im}\gamma \cap \text{im}\delta$;
(If $x \in \text{im}\gamma \cap \text{im}\delta$, then we say that x is a base in \mathbf{H})
- (ii) $(\forall x, y \in H)xy = \gamma(x)\delta(y)$;
 $((\gamma(x), \delta(y)))$ is the pair of divisors of xy in \mathbf{H} ; i.e. $\gamma(x)$ is the left and $\delta(y)$ the right divisor of xy .)

- (iii) There exists a mapping $x \mapsto |x|$ from H into the set of positive integers with the following properties:

$$|xy| = |\gamma(x)| + |\delta(y)|,$$

$$\gamma(x) \neq x \iff |\gamma(x)| < |x|; \quad \delta(x) \neq x \iff |\delta(x)| < |x|,$$

for any $x, y \in H$.

Proof. If \mathbf{R} is the $\mathcal{U}(M; N)$ -canonical groupoid with the basis B , then there exists a unique isomorphism $\varphi: \mathbf{R} \rightarrow \mathbf{H}$ such that $\varphi(b) = b$, for each $b \in B$. Defining $\gamma, \delta: H \rightarrow H$ by: $\gamma(x) = \xi(\varphi^{-1}(x))$, $\delta(x) = \eta(\varphi^{-1}(x))$, we obtain two retractions γ, δ of H such that (i)–(iii) hold, where the length of $x \in H$ is defined by $|x| = |\varphi^{-1}(x)|$. \square

In each of the cases $\mathcal{U}_l(m), \mathcal{U}_r(S), \mathcal{U}(kn; n)$, the results of Proposition 3.6 can be stated more explicitly as follows.

3.6. $\mathcal{U}_l(m)$.

- (i) $\gamma = \alpha, \delta = 1_H$;
- (ii) $y \in H$ is a base in \mathbf{H} iff $y \in \text{im}\gamma$; for each $x \in H$ there exists a unique $y = \text{bs}(x)$ (the base of x) and unique $p = \text{exp}(x) \geq 0$ (the exponent of x) such that $x = y^{(p)}$.
- (iii) $\text{bs}(x)$ is the left (and y the right) divisor of xy .
- (iv) If b is a base in \mathbf{H} , and $1 \leq i < m$, $p \geq 0$, then $c = b \underline{b^{(p)}i}$ is also a base in \mathbf{H} ; $b \underline{b^{(p)}i} - 1$ is the left and $b^{(p)}$ the right divisor of c ; in the same case $b \underline{b^{(p)}m-1}$ is the left and $b^{(p)}$ the right divisor of $b^{(p+1)}$.
- (v) If $x \in H, 1 \leq i \leq j \leq m+1$, then $x^i = x^j \Rightarrow i = j$.

3.6. $\mathcal{U}_r(N)$.

- (i) $\gamma = 1_H, \delta = \beta$;
- (ii) y is a base in \mathbf{H} iff $y \in \text{im}\delta$; for each $x \in H$ there exists a unique base y , and a unique $q \in \{0\} \cup \langle N \rangle$, such that $x = y^{q+1}$.

(iii) The left divisor of xy is x and its right divisor is $\text{bs}(y)$. Thus,

$$xy = uv \iff x = u, \text{bs}(y) = \text{bs}(v).$$

3.6. $\mathcal{U}(kn; n)$.

(i) x is a base in \mathbf{H} iff $x^{m+1} \neq x$; for each $x \in H$ there exists a unique y (the *base of* x) and a unique $i \in \{0, 1, \dots, k\}$ (the *exponent of* x) such that $x = y^{i^{n+1}}$; x is a *left base* in \mathbf{H} iff $i \neq k$, where i is the exponent of x .

(ii) For any $x \in H$, $\delta(x)$ is the base of x , and

$$\gamma(x) = \begin{cases} x, & \text{if } x \text{ is the left base} \\ y, & \text{if } y \text{ is the base of } x, \text{ and } x = y^{m+1} \end{cases}$$

$$\delta(x) = \begin{cases} y, & \text{if } x = y^{i^{n+1}}, 0 \leq i \leq k, \\ x, & \text{otherwise.} \end{cases}$$

(iii) $\gamma(x)$ is the left and $\delta(y)$ the right divisor of xy .

4. Free subgroupoids of $\mathcal{U}(M; N)$ -free groupoids

We shall describe the set of pairs (M, N) of sets of positive integers such that the variety $\mathcal{U}(M; N)$ is hereditary, i.e. we shall prove Theorem 2.

Proposition 4.1. *For any $m \geq 1$, the class of free objects in the variety $\mathcal{U}_l(m)$ is hereditary.*

Proof. Let \mathbf{Q} be a subgroupoid of a $\mathcal{U}_l(m)$ -free groupoid \mathbf{H} . We have to show that the set P of prime elements of Q is nonempty, and that \mathbf{Q} is $\mathcal{U}_l(m)$ -free with the basis P . The proof will be given in several steps, where induction on $|x|$, for $x \in Q$, will be used.

1) If $a \in Q \setminus P$ and c is the right divisor of a in \mathbf{H} , then $c \in Q$.

2) Let $a = b^{(p)} \in Q$, where b is the base of a in \mathbf{H} . If q is the least non-negative integer such that $b' = b^{(q)} \in Q$, then we say that b' is the base of a in \mathbf{Q} . Then, if $q \geq 1$, $b' \in P$.

By 1) and 2) we obtain:

3) $P \neq \emptyset$, and P is the least generating subset of \mathbf{Q} .

4) If $c, d \in Q$, and b' is the base of c in Q , then we say that (b', d) is the pair of divisors of cd in Q . Then: $|d| < |cd|$, and: b' is prime or $|cd| = |b'| + |d|$.

5) Assume that $G \in \mathcal{U}_l(m)$ and $\lambda : P \rightarrow G$ is a given mapping. There is a (unique) homomorphism $\varphi : Q \rightarrow G$ such that $\lambda = \varphi|_P$ is the restriction of φ on P . Namely, if $x \in Q$ is such that $|x| = \min\{|y| : y \in Q\}$, then $y \in P$, and thus $\varphi(x) = \lambda(x)$ is well defined. Assume that for each $x \in Q$, such that $|x| \leq i$, $\varphi(x) \in G$ is well defined and, moreover, if (y, z) is the pair of divisors of x in Q , then $\varphi(y), \varphi(z)$ are well defined, and $\varphi(x) = \varphi(y)\varphi(z)$.

Let $v \in Q \setminus P$ be such that $|v| = i + 1$, and (t, u) be the pair of divisors of v in Q . Then $\varphi(t)$ and $\varphi(u)$ are well defined, and thus we can define $\varphi(v)$ by $\varphi(v) = \varphi(t)\varphi(u)$. Then $\varphi : Q \rightarrow G$ is a homomorphism which extends λ . \square

Proposition 4.2. *The class of free objects in the variety $\mathcal{U}_r(1)$ is hereditary.*

Proof. This statement is one of the main results of [4], and it is also a corollary of Proposition 4.1. Namely, let $G = (G, \cdot)$ be a given groupoid, and the groupoid $G^{\text{op}} = (G, \circ)$ be defined by $x \circ y = yx$. Then, $G \in \mathcal{U}_r(1) \iff G^{\text{op}} \in \mathcal{U}_l(1)$, and H is $\mathcal{U}_r(1)$ -free iff H^{op} is $\mathcal{U}_l(1)$ -free. \square

Proposition 4.3. *If N is a nonempty set of positive integers and $1 \notin N$, then the class of free objects in the variety $\mathcal{U}_r(N)$ is not hereditary.*

Proof. Let $n = \min(N)$, and let H be a $\mathcal{U}_r(N)$ -free groupoid with the basis B . Consider the subgroupoid Q generated by $\{b^n, b^{n+1}\}$, where $b \in B$. Then b^n is the unique prime in Q , and $\{b^n\}$ does not generate Q , which implies that Q is not free⁷. \square

Proposition 4.4. *If $M \neq \emptyset, N \neq \emptyset$, then the class of free objects in the variety $\mathcal{U}(M; N)$ is not hereditary.*

Proof. Let $m = \gcd(M), n = \gcd(M \cup N)$ and let H be a $\mathcal{U}(M; N)$ -free groupoid with the basis B . If $b \in B$, and Q is the subgroupoid generated by $\{b^{n+1}\}$, then the set of primes in Q is empty. (Namely, $(b^{n+1})^{m+1} = b^{n+1}$, which implies that b^{n+1} is not a prime in Q .) \square

Theorem 2 is a corollary of Propositions 4.1–4.4.

⁷Here, and further on in Section 4, if H is $\mathcal{U}(M; N)$ -free groupoid, and Q is a subgroupoid of H , we will write " Q is free" instead of " Q is $\mathcal{U}(M; N)$ -free".

Proposition 4.5. *Let \mathbf{H} be a $\mathcal{U}(M; N)$ -free groupoid and \mathbf{Q} a subgroupoid of \mathbf{H} , such that:*

$$(24) \quad (\forall x \in H)(x \in Q \Rightarrow \text{bs}(x) \in Q).$$

Then \mathbf{Q} is free.

Proof. From (24) it follows that if $a \in QQ$ and (c, d) is the pair of divisors of a in \mathbf{H} , then $c, d \in Q$, and moreover the following equation holds:

$$|a| = |c| + |d|.$$

This implies that the set P of primes in \mathbf{Q} is nonempty and generates \mathbf{Q} . In the same way as 5) in the proof of Proposition 4.1, one can show that \mathbf{Q} is free with the basis P . \square

In the next three statements we describe free subgroupoids of $\mathcal{U}(M; N)$ -free groupoids when the class of $\mathcal{U}(M; N)$ -free groupoids is not hereditary.

Proposition 4.6. *Let \mathbf{H} be a $\mathcal{U}(M; N)$ -free groupoid, where $M \neq \emptyset, N \neq \emptyset$, and \mathbf{Q} be a subgroupoid of \mathbf{H} . If \mathbf{Q} does not satisfy (24), then \mathbf{Q} is not free.*

Proof. Let $m = \text{gcd}(M), n = \text{gcd}(M \cup N), m = kn$, and let $a \in Q$ be such that $b \notin Q$, where b is the base of a in \mathbf{H} . Then, there exists an $i \in \{1, 2, \dots, k\}$, such that $a = b^{in+1}$. Then:

$$\begin{aligned} a^2 &= b^{in+2}, a^3 = b^{in+3}, \dots, a^{(k-i)n+1} = b^{kn+1} = b^{m+1}, \\ a^{(k-i)+2} &= b^2, a^{(k-i)n+3} = b^3, \dots, a^{(k-i)n+n} = b^n, \\ a^{(k-i+1)n+1} &= b^{n+1}, \dots, a^{(k-i+2)n+1} = b^{2n+1}, \dots, a^{kn} = b^{in}, a^{kn+1} = a \end{aligned}$$

are elements of Q . Thus, $b^2, b^3, \dots, b^{m+1} \in Q$, but $b \notin Q$. From the equality $(b^{m+1})^{m+1} = b^{m+1}$ it follows that b^{m+1} is not a base, and if \mathbf{Q} were free, a base c in \mathbf{Q} and $j \in \{1, 2, \dots, k\}$ would exist, such that $b^{m+1} = c^{in+1}$, which would imply $i = k, c = b \in Q$, i.e. we would obtain a contradiction. \square

Proposition 4.7. *Let \mathbf{Q} be a subgroupoid of a $\mathcal{U}_r(N)$ -free groupoid, where $n = \min(N) \in N$, and let, for $x \in Q$, $j(x)$ be defined as follows:*

$$(25) \quad j(x) = \min\{s : (\text{bs}(x))^{s+1} \in Q, s \geq 0\}.$$

Then, \mathbf{Q} is free iff

$$(26) \quad (\forall x \in Q) n|j(x).$$